



DPB Board

22 September 2020, Time 10.30 – 13.00

All via Video Conference

Attending:	James Smith, Chair (JS) Andrew Allsopp (AA) Alan Kershaw (AK) Darius Mayhew (DM) John Birkenhead (JB) Tim Russell (TR)	Executive Staff:	Judith Joy (JJ) Gina Thomas (GT)
		Invitees:	Ben Kemp (BK)
Apologies:			

Item	Title	Papers	Pages	Lead	Timings
1.	Welcome and minutes			JS	10:30
1.1	To approve minutes of June 2020	1	3-7		
1.2	To review live action list	2	8-13		
2.	Confidential Matter			JJ/ BK	10:45
	To discuss a confidential matter.	<i>Verbal</i>			
3.	Standing items			JS	11:15
3.1	To declare any conflicts of interest				
3.2	To discuss the DPB Board Work Plan	3	14-15		
3.3	To discuss the DPB Board Risk Register	4	16-17		
4.	Firm specific matters			JS	11:30
	To note developments, and matters arising since the last meeting.	5	18-19		
5.	Confidential Matter			JJ	11:40
	The Board discussed a confidential matter.	6	20-35		
6.	Annual Return			JJ	12:10
	To approve content of Annual Return form 2020	7	36-63		
	To note update on practicalities of form				
7.	Monitoring by ICAEW QAD			JS	12:30
	To discuss a confidential matter	8	64-67		



Agenda

Item	Title	Papers	Pages	Lead	Timings
	To note update on QAD assessments in 2020				
	To consider current checklist for QAD assessment and determine if amendments are required				
8.	Governance and Transparency			JJ	12:40
	To approve redacted minutes to be published on website	9	68-77		
	To note update on changes made to the website for DPB				
9.	Data Protection			JJ	12:45
	To note update on data protection and retention of DPB related papers	10	78-90		
10.	Regulation Round-up			JS	12:55
	To note and discuss	11	91-125		
11.	AOB				
s					